

 <p><b>Financial Assistance Award</b></p> <p><b>DENALI COMMISSION</b>  510 L Street, Suite 410  Anchorage, Alaska 99501  (907) 271-1414 (phone)  (907) 271-1415 (fax)  www.denali.gov</p>		<b>Award Number</b>	01496-00		
		<b>Award Title</b>	Bulk Fuel Administrative Capacity Building		
		<b>Performance Period</b>	July 1, 2016 through December 30, 2017		
<b>Authority</b> 112 Stat 1854	<b>CFDA Number</b> 90.100	<b>Recipient Organization &amp; Address</b> State of Alaska, DCCED 550 W 7th Ave Ste 1640 Division of Community & Regional Affairs  <b>Phone:</b> 907-465-2500 <b>Recipient DUNS #</b> 809387467 <b>TIN #</b> 926001185			
<b>Denali Commission Finance Officer Certification</b>					
<b>Cost Share Distribution Table</b>					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000AL	\$190,000.00		\$0.00		\$190,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
<b>Total</b>	<b>\$190,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$190,000.00</b>
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b> 08/31/2016	

## AWARD ATTACHMENTS

State of Alaska, DCCED

01496-00

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1. DCRA Admin Capacity FAA
2. DCRA Admin Capacity FAA Attachment A

22 July 2016

**Financial Assistance Award Terms and Conditions  
Between the Denali Commission and  
The State of Alaska Division of Community and Regional Affairs (DCRA)  
For Bulk Fuel Administrative Capacity Building  
Award No. 1496**

**1. Project Summary**

- a. Scope of Work: Training and technical assistance for administrators of rural bulk fuel tank farms in 23 rural communities.
- b. Deliverables: Development of a best practices scoring system and evaluations of each eligible facility within each community. Initial and final reports documenting DCRA's work and any achievements and advances in the quality of tank farm administration.
- c. Budget: The Commission is making \$190,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission.
- d. Delivery Method: DCRA staff will perform the work detailed in this Award.
- e. Performance Period: The Period of Performance for this FAA is July 1, 2016 through December 30, 2017. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at [www.denali.gov](http://www.denali.gov).

More detailed information on scope, deliverables, budget, project delivery method and/or management plan, and schedule are included in Attachment A dated June 23, 2016.

**2. Project Reporting and Commission Site Visits**

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at [www.denali.gov/dcpdb](http://www.denali.gov/dcpdb).

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

### **3. Payments**

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

### **4. Modifications**

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions to the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

### **5. Financial Management, Record Keeping, Internal Controls, and Audits**

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

## **6. Direct and Indirect Costs**

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

## **7. Sub-Awards and Contracts**

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **8. Acknowledgement of Support**

The Recipient shall include the Denali Commission as a financial contributor and project/program partner in all media correspondence related to all project(s) supported by the Denali Commission. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

## **9. Real and Personal Property**

In accordance with 2 CFR 200.311, 313, and 316 the Denali Commission may require the Recipient to execute a security interest or other public notice of record to indicate that real or personal property acquired or improved, in whole or in part, with Federal funds is subject to a Federal interest. Any such requirements under this FAA, including post project reporting in accordance with 2 CFR 200.329, will be stipulated in the Special Provisions.

## **10. Conflict of Interest**

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

### **11. Denali Commission Policies**

Recipients may be required to comply with certain published Denali Commission policies. Any such requirements under this FAA will be stipulated in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information on individual policies.

### **12. Laws and Regulations**

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

### **13. Other Provisions**

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

#### 14. Special Provisions

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is July 1, 2016 to September 30, 2016, and quarterly thereafter in accordance with the Commission's *Recipient Guidelines and Requirements*.

#### 15. Program Manager, Financial Manager & Other Contact Information

Denali Commission	Division of Community and Regional Affairs
Jay Farmwald Director of Programs 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: jfarmwald@denali.gov	John Nickels Project Manager 550 W. 7th Ave, Ste 1640 Anchorage, AK 99501 Phone: 907-269-4564 Fax: 907-269-4563 Email: john.nickels@alaska.gov
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-1414 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Pauline Strong DCCED Finance Officer 333 Willoughby 9th Flr Juneau, AK 99801 Phone: 465-2509 Fax: 907- 465-2563 Email: pauline.strong@alaska.gov

**Financial Assistance Award Terms and Conditions - Attachment A**  
**Between the Denali Commission and**  
**the State of Alaska Division of Community and Regional Affairs (DCRA)**  
**For Bulk Fuel Administrative Capacity Building**  
**Award No. 1496**

- a. Scope of Work: This award funds support and capacity building for administrators and managers of bulk fuel storage tank farms in rural Alaska communities. Funding will be used to pay for personnel, travel, and material costs.

The 23 communities to be served are: Alakanuk, Beaver, Birch Creek, Chalkytsik, Ekwok, Emmonak, Goodnews Bay, Holy Cross, Kake, Kipnuk, Marshall, Minto, Newtok, Noatak, Pilot Station, Port Heiden, Saint George, Scammon Bay, Shageluk, Shishmaref, Shungnak, Tatitlek, and Togiak.

Eligible facilities within each community will be decided on an individual basis, but will generally include those facilities eligible to receive construction funding from the Denali Commission's Bulk Fuel Program.

This project will serve as a pilot program for a potential larger Commission investment through DCRA in administrative support for tank farms.

- b. Deliverables:
- Best practices scoring system and evaluations for each eligible facility within each community.
  - Initial and final reports including metrics on best practices and analyzing changes and improvements
- c. Budget: Project budget and funding information is summarized in the table on page 2. The Commission is making \$190,000 available via this Financial Assistance Award.
- d. Delivery Method: DCRA staff will perform the work detailed in this Award.
- e. Schedule: The Period of Performance for this FAA is July 1, 2016 through December 30, 2017. Direct work within communities should commence by January 1, 2017.



<i>line</i>	ITEM	BUDGET	
		Amount	Note
1	Personnel Costs	\$118,752	Based on DCRA estimate
2	Travel Costs	\$59,600	Based on DCRA estimate for 2 trips per community
3	Material Costs	\$5,000	Based on DCRA estimate
4	Contingency	\$6,648	
5	Indirect Costs	\$0	The scope of this grant does not cover any indirect costs.
6	<b>Total Cost</b>	<b>\$190,000</b>	